

## Proapoptotic role of novel gene-expression factors

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**Abstract** The mechanisms that control cellular proliferation, as well as those related with programmed cell death or apoptosis, require precise regulation systems to prevent diseases such as cancer. Events related to cellular proliferation as well as those associated with apoptosis involve the regulation of gene expression carried out by three basic genetic expression regulation mechanisms: transcription, splicing of the primary transcript for mature mRNA formation, and RNA translation, a ribosomal machinery-dependent process for protein synthesis. While development of each one of these processes requires energy for recognition and assembly of a number of molecular complexes, it has been reported that an increased expression of several members of these protein complexes promotes apoptosis in distinct cell types. The question of how these factors interact with other proteins in order to incorporate themselves into the different transduction cascades and stimulate the development of programmed cell death, although nowadays actively studied, is still waiting for a clear-cut answer. This review focuses on the interactions established between different families of transcription, elongation, translation and splicing factors associated to the progression of apoptosis.

**Key words** Apoptosis • Gene expression • Splicing factors • Cancer

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### Introduction

Apoptosis is an intrinsic programmed cell death mechanism important in cellular homeostasis, embryony development, and during the appearance of a series of diseases such as cancer [1, 2]. The mechanism of apoptosis is divided mainly into the following four stages: the first comprises a series of stimuli received by cells that give rise the development of the process; the second involves transduction of signals that act directly on executor actors, i.e., specific proteases known as caspases [3]; third stage is composed of the action of these enzymes promoting cellular disassembly; and finally the fourth and last stage during which apoptotic bodies form and get absorbed through specific cell phagocytic processes [3].

There are two well recognised apoptotic pathways: the cytoplasmatic membrane death receptor pathway and the mitochondrial pathway. Although, both manage independent transduction cascades, they converge at the caspase activation point to accomplish cellular disassembly [4]. Despite the fact that both pathways have been well established, the different stimuli favouring their development have yet to be identified. Although several proapoptotic molecules have been described [5–8], recent developments address the proapoptotic role of an increased expression of several members of the transcription-factor family of proteins related to the translation of diverse proteins as well as to the onset of the splicing event [7, 9–11]. Studies conducted on the overexpression of such proteins suggest they can be integrated into the transduction pathway of apoptosis [7]. Therefore, in this review we emphasise the importance of these proteins as regulators of cell proliferation as well as programmed cell death.

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## Basal transcription factors

RNA polymerases active in eukaryote cells include RNA polymerase I, II and III (pol I, II, III), which transcribe DNA into rRNA, mRNA and tRNA respectively. During the transcription mechanism, in addition to polymerases, a series of proteins are required to participate in the initiation of the transcription process and denominated transcription factors. These factors recognise DNA cis sites as part of promoters or enhancers [12]. Ribosomal DNA transcription by RNA pol I is required for cell growth due to its role in the synthesis of ribosomal RNA (rRNA) [13], while transcription activity of RNA pol III allows cell cycle progression [14]. This review emphasises the key role of changes in the expression levels of transcription factors type II participating in mRNA synthesis, crucial for cell growth and programmed cell death.

In general, transcription factors that interact with RNA pol II are classified into three groups. The first group corresponds to general factors needed for RNA transcription of all promoters. Upon association with RNA pol II, they form a molecular complex located at the starting point of transcription constituting the basal transcription apparatus. The second group of factors, that correspond to DNA binding proteins, recognise sequences localised upstream of the initiation site. Finally, the third group comprises DNA binding proteins with a regulatory function. The latter are activated or synthesised by specific tissues at specific times, and sequences to which they bind in DNA are known as response elements [12]. Transcription factors that work with RNA pol II have been termed RNA pol II transcription factor X (TFIIX), where X corresponds to the letter that identifies the individual factor. These basal factors bind sequentially until they form the transcription initiation complex. TFIID corresponds to the first factor to bind a sequence upstream of the TATA promoter region and presents two TATA binding proteins (TBP) and TATA recognition proteins called TBP-associated factors (TAFs). After TFIID binding, they sequentially couple the TFIIA and TFIIB factors. During this process, TFIIF binds to RNA pol II and later associates to the transcription complex. Other transcription factors such as TFIIE, TFIIH and TFIIJ are necessary to allow RNA pol II to move along the entire promoter. The TFIIH transcription factor possesses ATPase, helicase and kinase activities, phosphorylating and activating RNA pol II [12]. In addition to the basal transcriptional factors group, other related families whose members favour transcription development, such as the E2F and STAT families, are described next.

## E2F and STAT families

The E2F transcription group of factors corresponds to a series of proteins originally discovered in an adenovirus

and demonstrated to interact with the E1A transforming protein, thus mediating the E2 viral promoter transcription activity [15]. E2F factors constitute a family of transcription proteins that participate in cell cycle regulation and in mammal cell apoptosis [16] (Table 1). The nucleotide sequence recognised by this factor in the E2 promoter corresponds to TTTTCGCGC. Similar sequences have been recognised with other promoters whose functions are important during cell cycle (cyclins and other DNA synthesis-associated genes) [9]. The majority of E2F proteins heterodimerise with DRTF-1 DNA binding protein (DP) polypeptide family members to form active transcription factors [9, 17, 18]. E2F controls transcription of a gene group that codifies for cell cycle G1/S transition event-related function proteins that include the thymidine kinase,  $\alpha$  polymerase DNA and dihydrofolate reductase [19]. Nevertheless, despite the fact that eight mammalian E2F family genes have been identified (E2F1–8), the majority of E2F proteins, with the exception of E2F7, heterodimerise with DP1 and DP2, each codified by a sole gene [20, 21].

E2F/DP complexes interconnect with proteins codified by three interrelated genes: *Rb*, *p107* and *p130* [20, 22] (Fig. 1). In general, E2F family members are selected according to their function as transcription-process activators (E2F1, E2F2 and E2F3a) or repressors (E2F3b, E2F4, E2F5, E2F6, E2F7 and E2F8) [20, 23, 24] (Fig. 1). From this list, E2F8, when overexpressed in mouse-embryo primary fibroblasts, decreases cellular proliferation [24]. It is known that E2F1–3 proteins localised in the nucleus principally bind to Rb. E2F-4 and E2F-5 do not present a nuclear localisation signal and preferentially bind to *p107/p130*. It has also been demonstrated that E2F6 suppresses transcription activity of different proteins that belong to the E2F family [16].

E2F proteins actively participate in the transcription process and interact with basal transcription factors such as TBP and the TFIIH transcription factor [25]. Similarly, they participate as mediators for carrying out the interaction between TFIID and TFIIA factors [26]. E2F also presents the capacity to unfold DNA, thus activating transcription [27]. Moreover, as several mechanisms are known where E2F proteins participate in transcription activation, it is necessary to bear in mind that different E2F proteins possess distinct effects on the cell cycle. This situation occurs with E2F1-3 inducing the S phase in several cell types [28, 29].

On the other hand, the pocket family of proteins considered as cell cycle regulators is composed of pRB, *p107* and *p130*. These proteins function during the G1-S transition and are associated with regulation of target genes that respond to E2F transcription factors [20] (Fig. 2).

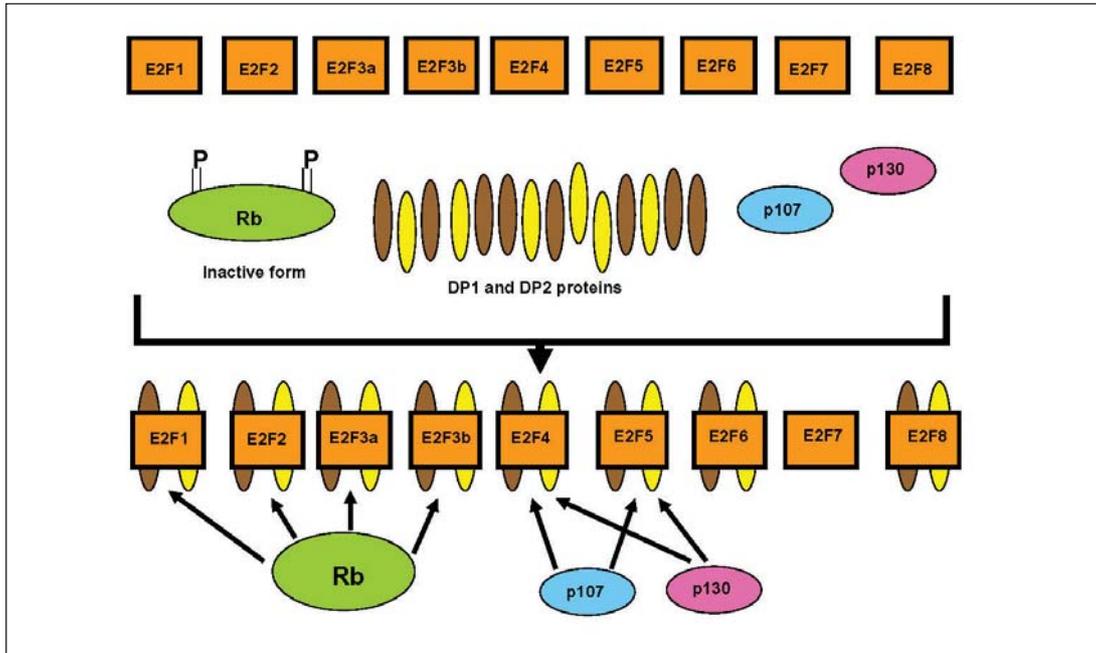
There is evidence that E2F transcription factors are targets for hypophosphorylated Rb, fulfilling its tumour suppressor function by inhibiting E2F and blocking its cell-cycle transcription and progression activator func-

**Table 1** Gene expression factors

Expression factors	Cell localisation	Functions in cell cycle	Binding ligand	Proapoptotic function	References
<b>Transcription factors</b>					
E2F family E2F1, E2F2, E2F3a	Nucleus	Activators of cell cycle	Rb	E2F1, E2F2, E2F3a, E2F3b	[23, 24, 32, 34, 39, 43–47]
E2F3b, E2F4, E2F5, E2F6, E2F7 E2F8		Repressors of cell cycle Repressor of cell cycle	p107/p130	E2F4, E2F5	[16, 23, 24, 48, 49] [24]
<b>STAT family</b>					
Stat 1–4, 5A, 5B, 6	Cytoplasm	Transcription factors 1, Stat 3 and Stat 5 function as cell cycle activators. Cell transformation, inhibition of apoptosis		Stat 1	[12]
<b>Other transcription factors</b>					
TP53 tumour suppressor gene belongs to a family of highly conserved genes that contains at least two other members, P63 and TP73	Nucleus	Growth arrest, maintenance of genome integrity, cellular senescence, DNA repair, inhibition of cell cycle and apoptosis	TP53 participates in transactivation of several proapoptotic target genes as members of bcl-2 family. Activation of extrinsic apoptosis pathway in response to DNA damage	Proapoptotic function	[12, 35, 36]
Rb	Nucleus	Inhibition of cell cycle and apoptosis	E2F1, E2F2, E2F3a, E2F3b	Established proapoptotic function	[12, 20]
p107	Nucleus	Inhibition of cell cycle and apoptosis	E2F4, E2F5	Established proapoptotic function	[12, 20]
p130	Nucleus	Inhibition of cell cycle and apoptosis	E2F4, E2F5	Established proapoptotic function	[12, 20]
<b>Translational factors</b>					
eIF-1A, eIF-3, eIF-4A, eIF-4E, eIF-4F, eIF-4G, eIF-5A	Cytoplasm	Translation initiation factors, cell proliferation, human oncogenes eIF4E, eEF1A2	Assembly complex in the ribosome for initiation of translation		[10, 11, 79–81]
EF-1, EF-2, eEF-1A, eEF-1B	Cytoplasm	Translation elongation factors	Elongation mechanisms of translation	eEF-1A	[82, 83]
eRF-1	Cytoplasm	Translation terminus factors	Terminus mechanism of translation		[85]
<b>Splicing factors</b>					
UAP56, KIAA0801, U5-100, U5-200, KIAA0577, hPrp16, Hrh1, mDEAH9, Prp8	Cytoplasm	Splicing of primary RNA to produce mRNA	Formation of spliceosome complex	Fragment of Prp8	[7, 110]

tion [9, 30, 31] (Fig. 1). Notwithstanding this, in 1998 Kowalik et al. demonstrated that activation of apoptosis carried out through overexpression of factor E2F1 can be due to the fact that this protein acts as a specific signal inducing the apoptotic mechanism and affecting the accumulation of the tumour suppressor protein p53 [32] (Fig. 2). Despite the fact that E2F1 participation in apoptosis induction was thought to be p53-independent, it has been demonstrated that the E2F1 protein promotes p53 phosphorylation [33, 34].

Interestingly, the p53 protein gene was the first gene that, on being expressed, acts as a tumour suppressor. Mutations of this gene have been found in between 50 and 55% of all types of human cancer [12, 35, 36] (Table 1). p53 protein-generated translational pathways can be activated by different stimuli, such as DNA damage and aberrant cell growth signalling [12]. Between these stimuli, environmental pollutants and even medical treatments promote a dose response increase of p53 [37, 38]. p53 target genes are related to cell cycle inhi-

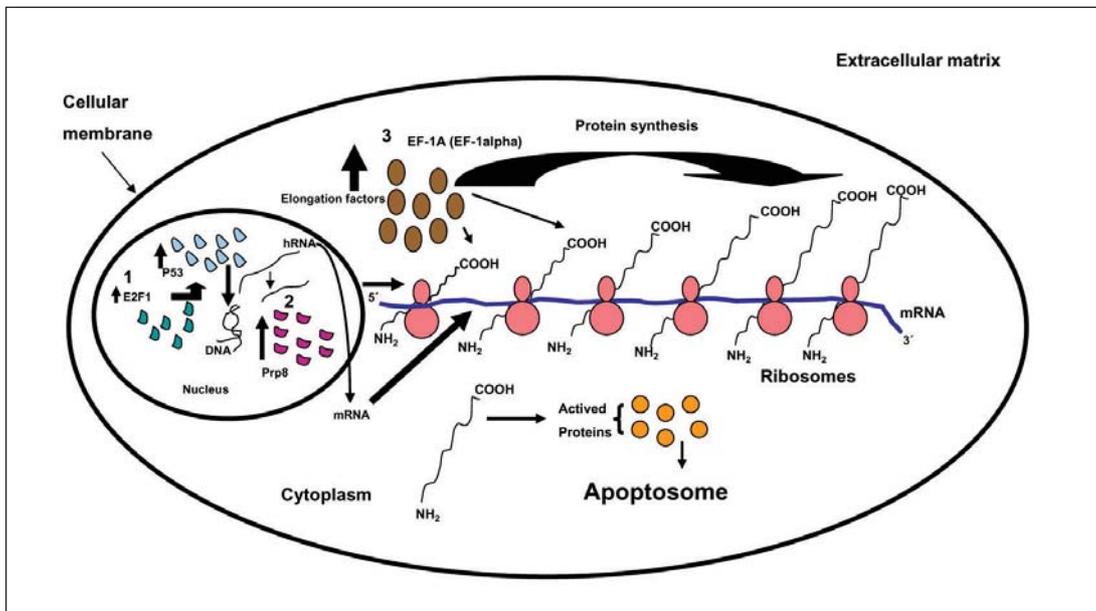


**Fig. 1** Molecular interaction between E2F family members and RB, *p107* and *p130* proteins. While E2F proteins heterodimerise with DP1 and DP2 proteins, the E2F7 protein homodimerise. When hypophosphorylated Rb binds to transcription factors, cell cycle progression is arrested. In contrast, the phosphorylated Rb protein releases transcription factors and promotes initiation of the cell cycle.

biton, DNA repair and apoptosis associated to the inhibition of blood vessel formation through transcription regulation of thrombospondin (TSP1) [12].

Several studies have shown that E2F1, E2F2 and E2F4 present specific structural properties, and induce

apoptosis in astrocytoma cells [39]. While the E2F1 protein presents structural domains like cyclin A and the pRb binding domain, E2F4 does not possess a cyclin A binding domain and preferentially binds to p107 and p130 [40]. Employing transgenic megakaryocytes, it has



**Fig. 2** The increased expression of E2F1, *Prp8* and EF-1A (EF-1alpha) factors as a mechanism to induce apoptosis. (1) transcription, (2) splicing and (3) translation are crucial mechanisms in the regulation of cell physiology and directly related with the progression of the cell cycle and programmed cell death.

been shown that overexpression of the E2F1 transcription factor increases apoptosis [41], while knockout mice for E2F1 slow down the onset of this process [42]. Therefore, it is important to mention the functional variability of diverse E2F family members, for example, E2F1 induces apoptosis in several cell types [32, 34, 43–47] and E2F3 participates in cell proliferation [48, 49], while E2F4 and E2F5 intervene in differentiation and development mechanisms, respectively [50–52].

On the other hand, novel E2F family members have been studied recently; for example, E2F3b codifying for an mRNA unique transcript of the E2F3 locus that presents structural differences with the member now denominated E2F3a [53, 54]. It is known that E2F3a corresponds to a transcription activator, while E2F3b functions as a transcription repressor [55]. E2F6 as well as E2F7 can also be transcription repressors and their primary RNA presents alternative splicing; therefore, they produce several isoforms whose function has yet to be determined [56, 57]. Additionally, the antagonistic behaviour of E2F, another family member, has been questioned. While increased E2F-1 levels induce cell apoptosis, augmented E2F-4 levels provoke apoptosis inhibition in different cell types [58]. Leone and colleagues in 2001 demonstrated that Myc overexpression induced cell death after culture without growth factors, and corresponds to an E2F functional protein-dependent process [59]. Moreover, in 2002 Wells and colleagues found that E2F1-specific target genes possess different functions if compared with target genes for the remainder of the E2F family [60]. Several examples of these target genes include the thioether S-methyltransferase, the hydroxysteroid sulphotransferase and the carboxylesterase, which when altered provoke different responses [60]. In addition, it has been found that the E2F1 protein regulates BH3 proapoptotic protein expression such as PUMA, Noxa, Bim and Hrk/DP5, by means of a direct transcriptional mechanism, demonstrating the connection between E2F and the apoptotic machinery [61]. Adenoviral vector-associated E2F-1 overexpression suppresses *in vitro* and *in vivo* growth of head and neck squamous carcinoma cell lines Tu-138 and Tu-167 through induction of apoptosis [62, 63].

Members of the E2F family show different individual functions in cells, the most generalised being at the S-phase through transcription regulation of genes [64, 65]. It is speculated that the E2F-RB complex can possess a direct function on DNA replication mechanisms [66, 67]. Moreover, it is known that many genes whose function is primordial in mitotic process development correspond to E2F1 transcription-factor target genes [65]. Other E2F protein-regulated genes include those involved in DNA repair mechanisms [68]. Another extremely important characteristic contributing to cellular homeostasis by the E2F family corresponds to the induction into programmed cell death by means of the activation of some of its members after DNA damage or

through overexpression of E2F1 [47, 67, 69] (Fig. 2). Apoptosis induction properties of E2F2 and E2F3 can be achieved through p53-dependent as well as independent apoptotic pathways, where in the latter another family member such as p73 must participate [70, 71].

E2F1/DP1 induces activation of other essential and common components of the different apoptotic pathways, such as caspases, Apaf1, and several proapoptotic and antiapoptotic family members including bcl-2. It was demonstrated that E2F1 inhibits NF $\kappa$ B-promoted cell survival signals. Likewise, it was found that DP proteins are necessary for activity regulation of several E2F family members essential for the complex to function [72] (Fig. 1). E2F transcription factor activity is deregulated during the development of several cancer types [73], and related to the presence of mutations of the Rb gene, which functions as a ligand [20]. Several reports support this affirmation, for example E2F3 overexpression in gall bladder cancer [74]. E2F1 overexpression employing a Sk-MEL-2 melanoma cell line affects the expression of a broad range of genes, including transcription factors, oncogenes and cell cycle regulation-associated genes, all apparently related to apoptosis [75].

The family of proteins known as STAT correspond to a group of cytoplasmatic proteins that participate in the normal cellular response to cytokines and growth factors including EGF and PDGF (Table 1). There are seven members identified in mammals so far (Stat 1, 2, 3, 4, 5A, 5B and 6) [12]. Activation of STAT proteins mediates the expression of genes that control diverse cell processes such as development, differentiation, proliferation, inflammation and apoptosis [12].

In normal cells, ligand-dependent STAT activation is a transitory process, differing from many tumour cell lines in which STAT proteins (1, 3 and 5) are activated or phosphorylated for prolonged periods. Stat 1 importantly functions in growth arrest, as well as in the promotion of apoptosis, which is why it was proposed as a tumour growth suppressor. Nonetheless, Stats 3 and 5 possess antagonistic functions in cell cycle promotion, cell transformation and inhibition of apoptosis [12]. Different oncoproteins such as Src and Ab1 activate STAT family members, as in the case of Stats 3 and 5 [12]. In addition to the protein groups already described, it is important to mention other protein groups with functions indirectly related to the activation of the apoptotic process.

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### Translational factors and protein synthesis

The mechanism of protein translation is carried out in the ribosomes from mRNA transcribed in the nucleus. Protein synthesis consists of three stages: initiation, elongation and termination, where the initiation stage is complex and consists of ribosome dissociation, tRNA

initiator and mRNA binding to the 40 S subunit [76-78]. This process requires different factors, initially termed as initiation factors (IFs), among which are found eukaryote protein-synthesis initiation factors (eIF) eIF-1A, eIF-3, eIF-4A, eIF-4E, eIF-4F, eIF-4G and eIF-5 [10, 79-81] (Table 1). Initiation factors associated to protein synthesis permit the 60 S subunit associations for complete ribosome reconstitution. Then, the second or elongation phase begins and requires, as did the initiation phase, factors known as elongation factors EF1 and EF2 [82]. Factors involved in amino acyl-tRNA incorporation-related elongation factors include eEF1A (formerly known as EF-1 $\alpha$ ) and eEF1B, while ribosome translocation is taken over by eEF2 [83]. eEF1A, presenting guanine nucleotide binding sites, interacts with amino acyl-tRNA and is transported to site A in the ribosome [84]. A liberation factor known as eRF-1 participates during the termination phase [85].

mRNAs possess different stabilities within a cell with a half-life that fluctuates approximately between 30 min and 15 h. mRNA stability is associated with nucleotide sequences present in the RNA within the 3' untranslated (3' UTR) region that promotes rapid deacylation of the 3' polyadenylated tail. The 5' extreme cap is eliminated sequentially, thus, mRNA degrades in the 5'-3' direction. The most labile mRNAs contain one or more AU-rich sequences in this region [86, 87]. However, approximately 3-5% of mRNAs are translated by a cap-independent mechanism containing an internal ribosome entry site (IRES) in 5'UTR [88, 89]. Many mRNAs that contain IRESs codify for proteins that participate in cell growth, proliferation, differentiation and apoptosis regulation processes [90]. Several studies have shown that overexpression of eIF-4E promotes a proliferation increase and the neoplastic transformation of specific tissues [91] (Table 1). It has been demonstrated that eIF-4E can be overexpressed in head and neck cancer cells [92], in HeLa cells [93], as well as colon, lung and breast cancers [92, 94]. It has been reported that among the functions carried out by this eIF-4E translational factor, an increased production of a series of proteins that promote cell growth, such as cyclin D1 and c-Myc, has been described [95, 96]. eIF-4E factor overexpression favours development of metastasis through the expression of proteins involved in this mechanism, such as type IV collagenase [97]. An increased eIF-4E expression also provokes apoptosis inhibition in cMyc-induced cells [98], as well as during cell transformation activation (Table 1). Both functions are dependent on post-translational modifications consisting of a chemical modification of a lysine, the hypusine amino acid [N epsilon-(4-amino-2-hydroxybutyl) lysine] protein precursor [99]. As eIF-4G overexpression causes malignant transformation in NIH3T3 cells, eIF4E and eEF1A2 factors were recognised as human oncogenes [100]. Nevertheless, an increased translational-factor expression is not necessarily related with neo-

plasia development, as this event is cell-type dependent [94]. Moreover, several reports show translation initiation factors as substrates for caspases. Such is the case with eIF2 $\alpha$ , which is phosphorylated and cleft at the carboxy-terminal sequence [101].

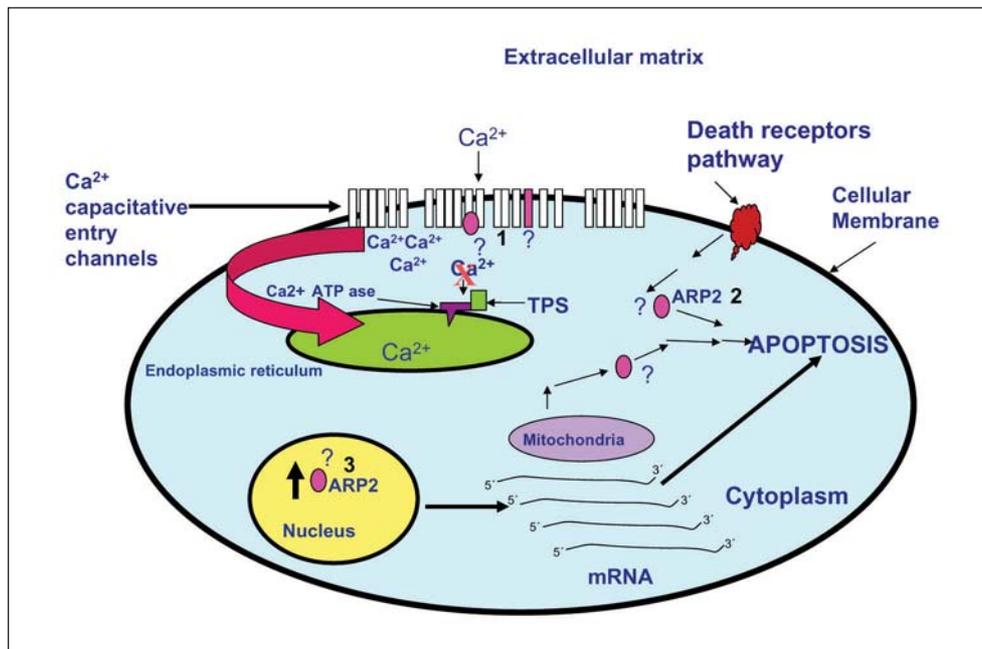
On the other hand, GTP-dependent aminoacyl-tRNA binding to ribosomes as well as other functions related with cytoskeleton organisation depend on peptide elongation factors. Serum elimination induced apoptosis in mouse 3T3 fibroblast cells, a phenomenon that seems to be associated to a change in the level of EF-1 $\alpha$  [102]. Other studies conducted using H<sub>2</sub>O<sub>2</sub>-induced apoptosis in rat embryo heart cells demonstrated that this elongation factor increases during the process, and promotes the apoptotic event [102, 103] (Fig. 2). EF-1A (EF-1 $\alpha$ ) overexpression in haematopoietic IL-3 cells also induces the apoptotic process [102, 103] (Table 1).

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### Splicing factors

The first transcripts produced by RNA pol II present intercalated sequences that interrupt exons known as introns. While the latter are eliminated by splicing, mature mRNA produced afterwards is transported into the cytoplasm for protein synthesis [104]. To perform the splicing process, the specific conformation of a molecular complex is required. This is composed of small nuclear RNAs, snRNAs U1, U2, U4/U6 and U5 (U, uridine-rich), which are associated with several proteins [105, 106]. The RNA-protein molecular association receives the name of ribonucleoproteic particles (snRNPs), or snurps for those smaller in size [105, 106]. The spliceosome is a molecular complex whose components include specific-function proteins divided mainly into two groups: snRNPs proteins, which are closely associated with snRNAs, and the non-snRNP splicing factors [107]. The former are subdivided into snRNPs and Sm proteins, which congregate around a U-rich RNA sequence [108, 109]. Among the splicing factors whose presence is indispensable for spliceosome assembly, Prp 8 has been considered an important promoting factor for splicing [110].

Our group recently carried out the isolation, characterisation and expression in *Xenopus laevis* oocytes of an apoptosis-regulated protein (ARP2) from androgen-independent, lymph-node prostate cancer cells (LNCaP) [7]. *arp2* cDNA presents 1.3 kb and shows a 98% sequence homology with 18% of the complete sequence of Prp8 splicing factor [110]. mRNA expression of *arp2* in *Xenopus laevis* oocytes produces apoptosis-associated biochemical, morphologic and electrophysiologic changes [7, 111, 112]. The Prp8 cDNA splicing factor contains approximately 7 kb and the codified protein shows the function of snRNA U5 recognition and binds to RNA in the spliceosome [110]. Therefore, it was giv-



**Fig. 3** Model for the possible localisation and function of ARP2 according to our reported data. (1) ARP2 directly related with  $\text{Ca}^{2+}$  movements through the plasma membrane, as a modulator protein of an intrinsic  $\text{Ca}^{2+}$  channel or as a  $\text{Ca}^{2+}$  channel itself. (2) ARP2 as a functional protein active in apoptotic transduction pathways. (3) ARP2 as a functional fragment of *Prp8*-cDNA that participates in splicing mechanisms of proteins related with the apoptotic machinery. Question marks define the fact that the different possible functions for ARP2 initially described and studied by us (7), are being further investigated in order to find out its specific role as a molecule already present and overexpressed at the initiation of apoptosis, or synthesized as an exclusive consequence of this process.

en a central role in RNA catalytic arrangements [108–110]. Interestingly, within the *arp2* cDNA nucleotide sequence, there are flanking sequences that correspond to the *htrp3* capacitative calcium entry channel [113], which is why, during *arp2* mRNA expression, calcium entry currents were looked for and found. This report constitutes the first study demonstrating that *arp2* mRNA expression in *Xenopus laevis* oocytes promotes the mechanism of apoptosis in these cells [7]. Notwithstanding this, due to *arp2* homology with Prp8 we consider that ARP2 corresponds to a proapoptotic molecule in itself. It is for this reason that we have proposed a functional model for ARP2 (Fig. 3). Recently Prp8 was shown to present specific segments important in spliceosome assembly [114], as well as specific ubiquitin binding domains similar to the ones found in other proteins related to ubiquitination and cell regulation [115]. These observations support our proposal that ARP2 might define a membrane-targeted structural domain with functions different to the ones fundamental in spliceosome assembly.

As genetic expression is a highly regulated cellular function that allows cells to carry out a coordinated and well controlled proliferation, mechanisms integrating this cellular function require inter- and intracellular signals promoting the activation of proteins that in turn

give rise to transductional cascades. However, when there is DNA damage, cells send specific signals that are detected and consequently propagated to give notice that this is the decision-making moment for defining the cell's fate; that is, whether to repair the damage or to activate the programmed cell death pathway. This cell strategy defines an efficient way to avoid proliferation of physiologically non-viable cells, therefore impeding the development of diseases such as cancer. Although the main programmed cell death pathways have been established, multiple studies continue to find molecules that are involved in their modulation. The proapoptotic function proposed for several molecules, such as transcriptional, splicing and translation factors, can be associated with the fact they present specific structural domains that allow the association to specific molecules and function as tumour suppressor proteins. How these proteins integrate into signalling cascades is a process that remains under study. Further knowledge of the molecules such as the ones described here and the processes they are involved with, eventually will allow us to understand, and therefore propose control mechanisms to modulate, unchained cell proliferation.

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